

The disclosure documentation applicable to this transaction is:

This application form is used to subscribe to a stocks and shares ISA with Cofunds. By completing this application, you agree to subscribing to a 2011/2012 tax year stocks and shares ISA and each subsequent year until further notice. You are not obliged to invest in subsequent tax years unless you choose to do so.

The ISA allowance for all investors is £10,680 for the 2011/2012 tax year.

Please complete this Application Form using black ink and BLOCK CAPITALS and return to: Bestinvest, 6 Chesterfield Gardens, London W1J 5BQ

**1 Adviser Details (For adviser use only)**

You will require a Cofunds authorisation code before being able to transact business. If you do not include this we will not be able to process this application. Please note our system will be updated to link the client to this Intermediary Authorisation Code.

Cofunds Intermediary Authorisation Code      Intermediary Client/Deal Ref. **BI0207** Marketing Code

**2 Personal Details (Please complete this section in full)**

Existing Cofunds Client Reference

Did you receive advice from an adviser in relation to this investment?  
If you do not answer this question we will assume you received advice.

Advised  Not Advised

Mr/Mrs/Ms/Miss/Other

Surname

Full First Name(s)

Male  Female  Date of birth   /   /

National Insurance Number   /   /   /

You should be able to find your NI number on a payslip, form P45 or P60, a letter from the HM Revenue & Customs, a letter from the DWP, or pension order book.

If you do NOT have a National Insurance Number, please tick here.

Current Permanent Residential Address

Postcode

Time at this Address  yrs  mths

If at current address for less than 2 years, please supply previous address and time there

Postcode

Time at this Address  yrs  mths

If more than one previous address in the last 2 years, please provide full details including the time at each address on a separate sheet of paper and staple securely to this application form.

**3 Funding your investment**

I will be funding my investment by (tick all that apply):

**Cheque**  £  .  Amount

**Cofunds Cash Account**  £  .  Amount

**Monthly Direct Debit** (please ensure you complete the 'Investment by Direct Debit for Monthly Savers' on page 3).

**4 Nominated Bank Account**

Complete this section if you have not provided us with your nominated bank account details. If you are an existing customer, only complete this section if you would like to change your nominated bank account details. Any change to your nominated bank account will not be applied to your regular monthly investments.

You can only have one nominated bank account at any given time.

Name of Account Holder

Bank or Building Society Name and Address

Postcode

Branch Sort Code    -

Bank/Building Society Account Number

Building Society Roll Number

**5 Income**

Complete this section if you have requested income units/shares ('INC').

The option you choose will be applied to all income units/shares on this application.

Note: If you are taking regular withdrawals from your cash account you may only select the 'Cofunds Cash Account' or 'Retain in the fund' options.

**Consolidated Monthly Income**  
Income generated from your investment funds will be consolidated into your cash account and paid to your nominated bank account on a monthly basis.

**Cofunds Cash Account**  
Income generated will be paid into your cash account to be held on platform for withdrawals or future investment.

**Retain in the fund**  
Income generated from this investment will be retained in the fund.

If you do not tick one of these boxes we will select the 'Retain in the fund' option by default.



**8A. CONFIRMATION OF VERIFICATION OF IDENTITY (PRIVATE INDIVIDUALS)**

Directly authorised firm Declaration for confirmation of verification of identity.

Who has been verified?

Full name

**I/We confirm that:**

- a) the name, address and date of birth information contained in this application was obtained by me/us in relation to the customer(s);
- b) the evidence I/we have obtained to verify the identity of the customer(s):  
(Tick one box only)
  - meets the standard evidence set out within the guidance for the UK Financial sector issued by the JMLSG; or
  - exceeds the standard evidence (written details of the further verification evidence taken are attached to this application).

**Explanatory notes:**

1. The party that you have verified the identity of must be named above.
2. This confirmation cannot be used to verify the identity of any customer that falls into one of the following categories:
  - Those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification;
  - Those whose identity has not been verified by virtue of the application of a permitted exemption under the Money Laundering regulations; or
  - Those whose identity has been verified using the source of funds as evidence.
3. This confirmation must carry an original signature as part of the application.

**8B. CONFIRMATION OF VERIFICATION OF BANK ACCOUNT DETAILS**

**I/We confirm that:** (delete as applicable)

The sort code, bank account number and account name within the nominated bank account section was obtained and verified by me/us in relation to the customer below.

The monies designated for investment have been drawn from an account (either by building society cheque, bankers draft or direct debit mandate) in the name of the applicant below.

**8C. ADVISER CONFIRMATION**

Full name of Regulated Firm	Signed	Date
FSA Ref No.	Name	
	Position	

Issued and approved by Cofunds Limited, 1st Floor, 1 Minster Court, Mincing Lane, London EC3R 7AA.  
Registered in England and Wales No. 3965289. Authorised and regulated by the Financial Services Authority (FSA) under FSA Registration No. 194734.  
IA37 03/11

**Investment by Direct Debit**



**Instruction to your Bank or Building Society to pay Direct Debits**



Please fill in the whole form and send it to:  
Bestinvest, 6 Chesterfield Gardens, London W1J 5BQ.

**Name and full postal address of your Bank or Building Society**

To the Manager	Bank or Building Society
Address	
Postcode	

Name(s) of Account Holder(s)

\_\_\_\_\_

Bank/Building Society Account Number

\_\_\_\_\_

Branch Sort Code

\_\_\_\_ - \_\_\_\_ - \_\_\_\_

**Banks and Building societies may not accept Direct Debit instructions from some types of account.**

Service User No.

6 0 0 2 6 7

Reference Number

\_\_\_\_\_

**For Cofunds LTD official use only**

This is not part of the instruction to your bank or building society.

**Instruction to your Bank or Building Society**

Please pay Cofunds Limited Direct Debits from the account detailed in this instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with Cofunds Limited and, if so, details will be passed on electronically to my Bank/Building Society.

Signature	Date
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**This Guarantee should be detached and retained by the payer.**

**The Direct Debit Guarantee**

- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit Cofunds Ltd will notify you 5 working days in advance of your account being debited or as otherwise agreed. If you request Cofunds Ltd to collect a payment, confirmation of the amount and date will be given to you at the time of the request
- If an error is made in the payment of your Direct Debit, by Cofunds Ltd or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society
  - If you receive a refund you are not entitled to, you must pay it back when Cofunds Ltd asks you to
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.

